



DPJ Capital

INVESTMENT ADVISORY
LONDON

Modern Slavery Act Statement

Introduction

DPJ Capital Advisory Ltd is an investment Management and Strategic Advisory Firm that was formed in February 2024. DPJ Capital is committed to ensuring transparency across the Firm, and we expect the same high standards from all of our contractors, partners and associates.

DPJ Capital's main operating entity is DPJ Capital Advisory Limited, which is incorporated and domiciled in the United Kingdom. The principal activity of the Firm is specialising in high-level advisory services for companies, new ventures, entrepreneur's, UHNWI's, family offices, senior boards of directors, mutual funds, and other financial institutions across Europe and globally. The Firm is committed to the highest standards of integrity and principled behaviour.

DPJ Capital is committed to upholding the principles of the Modern Slavery Act 2015 (the "Act"). This statement is made pursuant to section 54 of the Act and applies to the Firm.

Approach

DPJ Capital has a zero-tolerance attitude to slavery and human trafficking and is committed to conducting business in an ethical manner. DPJ Capital does not act as a producer, manufacturer, or retailer of any physical goods and, as a financial services provider, it has a relatively straightforward supply chain compared to other sectors. Notwithstanding this, DPJ Capital remains committed to ensuring that its supply chain is free of any slavery and human trafficking and will not knowingly sanction any relationships which support modern slavery.

As part of DPJ Capital's commitment to identify and mitigate risk we:

- have assessed the risk of modern slavery being present within DPJ Capital's existing supply chain;
- undertake measures to identify, assess and mitigate any risk in relation to existing and future suppliers on an ongoing basis;
- implement training for existing and new staff where appropriate; and
- continue to review our approach and look to develop it where appropriate.

DPJ Capital also considers country risks when onboarding new suppliers and performs a higher level of due diligence where services are sourced from outside the EU (or any country that is considered high risk) where there is potentially a higher risk of modern slavery.

Ongoing commitment

DPJ Capital will continue to follow this approach to ensure modern slavery and human trafficking are not operating in the business or any of the supply chains.

This statement is made pursuant to section 54 of the Modern Slavery Act 2015.

A handwritten signature in blue ink, appearing to read 'D. Johnson', with a stylized flourish at the end.

Derief Paul Johnson
Managing Director